



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the application of:

Ileana Gabriela SANCHEZ DE RUBIO et al.

Serial No.: 10/687,976

Filing Date: October 20, 2003

For: PROCESS FOR GENETIC  
TRANSFORMATION AND CO-  
TRANSFORMATION OF YEAST

Examiner: Unassigned

Group Art Unit: 1632

**REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by applicants, a copy of which is attached hereto, has an error in the inventors' names. Please correct inventors' names to read as follows:

Ileana Gabriela SANCHEZ DE RUBIO

Elisabete Jose VICENTE

Applicants also attach a copy of the original declaration, which shows the inventors' given names in reverse order, with the second given name preceding the first given name.

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

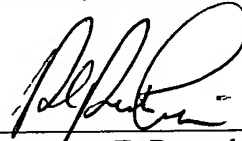
Applicant authorizes the Commissioner to charge any fee necessary for the issuance of the corrected filing receipt to Deposit Account No. 03-1952, Ref. 491332000600.

Early favorable action on this request is solicited.

Dated: April 13, 2005

Respectfully submitted,

By:



Barry E. Bretschneider  
Registration No. 28,055

Morrison & Foerster LLP  
1650 Tysons Boulevard  
Suite 300  
McLean, Virginia 22102  
Telephone: (703) 760-7743  
Facsimile: (703) 760-7777



APR 13 2005

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MAY 13 2004

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/687,976	10/20/2003	1632	918	491332000600 ✓	2	21	2

CONFIRMATION NO. 8662

25227

MORRISON & FOERSTER LLP  
 1650 TYSONS BOULEVARD  
 SUITE 300  
 MCLEAN, VA 22102

UPDATED FILING RECEIPT



\*OC000000012590506\*

Date Mailed: 05/11/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gabriela Leana Sanchez De Rubio, CEP-Sao Paulo, BRAZIL;  
 Jose Elisabete Vicente, CEP-Sao Paulo, BRAZIL;

## Domestic Priority data as claimed by applicant

## Foreign Applications

BRAZIL PI 0107496-2 04/19/2001  
 WORLD INTELLECTUAL PROPERTY ORGANIZATION WO 02/086057 04/19/2002

If Required, Foreign Filing License Granted: 02/27/2004

Projected Publication Date: 08/19/2004

Non-Publication Request: No

Early Publication Request: No

## Title

Process for genetic transformation and co-transformation of yeast

DOCKETED

Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

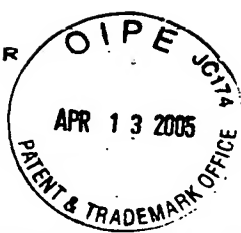
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Attorney Docket No. 491332000600

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names;

We believe that we are the original inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### PROCESS FOR GENETIC TRANSFORMATION AND CO-TRANSFORMATION OF YEAST

the specification of which: ☐ is attached hereto.  
☒ was filed on: October 20, 2003  
 as Application No.: 10/687,976  
 and was amended on: \_\_\_\_\_ (if applicable)

We have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. We acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

And we hereby authorize and request our agents, Morrison & Foerster LLP, whose address is set forth below, to insert above, the filing date and application number of said application when known.

#### Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed	
Brazil	PI 0107496-2	19 April 2001		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
PCT	WO 02/086057	19 April 2002		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

Attorney Docket No. 491332000600

**Prior Provisional Application(s)**

We hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year)

**Prior United States Application(s)**

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names:

James Remenick, Registration No. 36,902; Barry E. Bretschneider, Registration No. 28,055; Alex Chartove, Registration No. 31,942; Peter J. Davis, Registration No. 36,119; Kevin R. Spivak, Registration No. 43,148; Wayne C. Jaeschke, Jr., Registration No. 38,503; Jonathan Bockman, Registration No. 45,640; and Raj S. Davé, Registration No. 42,465.

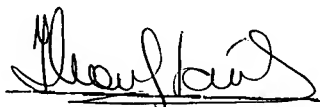
All correspondence and telephone communications should be addressed to Morrison & Foerster; Intellectual Property Department; 1650 Tyson's Boulevard, McLean, Virginia 22102; telephone number (703) 760-7700; facsimile number (703) 760-7777, which is also the address, telephone and facsimile numbers of each of the above listed attorneys.

JP  
Bd.

Attorney Docket No. 491332000600

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature



Date 04/18/2004

Full Name of  
First Inventor:**SÁNCHEZ DE RUBIO**  
(Family Name)**Gabriela**  
(Second Given Name)**Ilana**  
(First Given Name)

Citizenship:

BR

Residence:

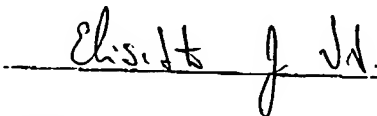
Av. Pavão, 700, apt 91, Moema, CEP-São Paulo, SP, Brazil

Post Office

Address:

Av. Pavão, 700, apt 91, Moema, CEP-São Paulo, SP, Brazil

Signature



Date 04/18/2004

Full Name of

Second Inventor:

**VICENTE**  
(Family Name)**José**  
(Second Given Name)**Elisabete**  
(First Given Name)

Citizenship:

BR

Residence:

Av. Prof. Lineu Prestes, 1374, ICBII, Butantã, CEP-São Paulo, SP, Brazil

Post Office

Address:

Av. Prof. Lineu Prestes, 1374, ICBII, Butantã, CEP-São Paulo, SP, Brazil